Purdue NAS Personal Injury Trust

Frequently Asked Questions ("FAQ")

NAS Personal Injury Claims

These FAQs are meant to simplify the description of the NAS Personal Injury Claim submission and review process, and are not all inclusive. The actual Plan, NAS PI Trust Distribution Procedures (the "NAS PI TDP") and NAS Trust documents are controlling over these FAQs. Additionally, these FAQs will evolve and be edited as the Plan process and the Trust administration proceeds.

1. Why did I receive the Notice and a Claim Form?

On April 15, 2025, the United States Bankruptcy Court for the Southern District of New York entered an Order appointing Ed Gentle as the PI Claims Administrator (which includes NAS), authorizing the Claims Administrator to establish a Claims Deadline and claims objections procedures. Under this order, the NAS PI Trust has been authorized to review and analyze all proofs of claim filed in the Purdue Chapter 11 Cases that represent PI Claims (as defined in the Plan, which includes NAS) and to request from the Holders of NAS PI Claims (or from their counsel) such information and/or documentation as may be necessary to substantiate the injuries alleged in the Proofs of Claim filed by such Holders and/or the requisite connection to the Debtors, including but not limited to requesting that each NAS PI Claimant complete and submit the NAS PI Trust Claim Form.

2. What is the Plan Effective Date?

The Effective Date of the Plan will not be set until after the Plan is confirmed. A hearing to confirm the Plan is scheduled for November 10, 2025.

3. What is a NAS PI Claim?

A NAS PI Claim is a present opioid claim of any natural person who has been diagnosed by a licensed medical provider with a medical, physical, cognitive or emotional condition resulting from such natural person's intrauterine exposure to opioids or opioid replacement or treatment medication, including but not limited to the condition known as neonatal abstinence syndrome ("NAS").

For the avoidance of doubt, claims related to medical monitoring support, educational support, vocational support, familial support or similar related relief shall not be NAS PI Claims.

4. Who qualifies to file a NAS PI Claim?

In order to be eligible for a Distribution from the NAS PI Trust for a NAS PI Channeled Claim, a claimant will, among other things, be required to:

- a. Hold a NAS PI Channeled Claim against one or more Debtors;
- b. Have timely filed an individual personal injury Proof of Claim for such NAS PI Channeled Claim against one or more Debtors in the Chapter 11 Cases; and
- c. Submit the required proof demonstrating a diagnosis by a licensed medical provider of a medical, physical, cognitive or emotional condition resulting from the NAS Child's intrauterine exposure to opioids or opioid replacement or treatment medication, including but not limited to the condition known as NAS.

To help explain the types of damages that qualify you, please refer to the NAS Admission Criteria ("NCAM") developed by NAS Counsel in the NCAM Claims Grading Manual found on the NAS PI Claims tab on this website. The NAS PI Trust will accept as a qualifying diagnosis any diagnosis approved in the NCAM Claims Grading Manual.

If you are the parent, guardian or legal representative of a minor who has been diagnosed as described above, then you can file a claim on their behalf. You will be required to provide documentation of your authority to act on behalf of the child/minor claimant. (See Questions 18 and 19 for Proxy requirements for Minor Claimants).

5. Must I have already filed a Proof of Claim with the Bankruptcy Court in the Purdue Bankruptcy Case to file a claim with the NAS PI Trust?

Yes. Claimants must have timely filed a Proof of Claim against one or more of the Debtors prior to July 30, 2020.

6. Is there a deadline to submit a Claim Form with the NAS PI Trust?

Yes. NAS PI Claims must be submitted for receipt by the Claims Administrator on or before July 28, 2025 at 11:59 EST.

7. What if I choose not to file a claim?

As noted in the Court Order included in the mailed packet, if you do not submit the form and all the required information for receipt by July 28, 2025, at 11:59 p.m. (Eastern Time), **your claim may be objected to, denied, or disallowed.**

The deadline to submit forms and the required evidence for receipt by the Claims Administrator is July 28, 2025, at 11:59 p.m. (Eastern Time). If you do not submit your form and required information so that it is received by July 28, 2025, at 11:59 p.m. (Eastern Time), <u>you may lose your right to receive any payment</u>, even if you timely filed a proof of claim in Purdue's bankruptcy.

8. Can the NAS PI Trust answer any questions about the solicitation/voting packet that I received?

No. The NAS PI Trust is unable to answer any questions regarding the solicitation.

If you have any questions on how to properly complete the ballot that was sent to you, please contact the Solicitation Agent at (844) 217-0912 (U.S./Canada, toll-free) or +1 (347) 859-8093 (international) or by email at purduepharmainfo@kroll.com (with "Purdue Pharma Solicitation Inquiry" in the subject line). You may also contact the Creditors' Committee with any questions at PurdueCreditorInfo@AkinGump.com.

FILING A CLAIM

9. How do I file my Claim?

If you were represented by an attorney in the Purdue Bankruptcy matter, please contact your attorney regarding the filing of your claim. If you are not represented, or are no longer represented, and are therefore filing as a pro se Claimant, you can file a NAS PI Claim by:

- 1. Completing and Submitting a NAS PI Claim Form and supporting documentation through the NAS Portal link located on the NAS PI Claims Tab of this website, or
- 2. Downloading the NAS PI Claim Form and additional required forms on the NAS PI Claims Tab of this website, completing the NAS PI Claim Packet and mailing it with your supporting documentation to: Purdue NAS PI Trust, PO Box 361930, Hoover, AL 35236-1930, or emailing it to purduepitrust.com.

10. What must I include in my submission?

You <u>must</u> include the following:

- 1. Complete, sign, and submit the NAS PI Claim Form
- 2. Submit documentation of exposure to opioids and of diagnosis of an opioid-related injury
- 3. Complete, sign, and submit the HIPAA Release Form

You may be required to include the following:

- 1. If the NAS PI Claimant is a Minor, documentation to show that you are qualified Proxy who is authorized to file a NAS PI Claim on the minor's behalf.
- 2. If the NAS PI Claimant is deceased, a certified death certificate must be provided AND:
 - a. If an estate has been opened, provide estate documentation;
 - b. If an estate <u>has not</u> been opened, the person filing the claim should complete and submit the Heirship Declaration Form, a copy of which is located in the NAS PI Claims tab of this website.

11. How long do I have to file a NAS PI Claim?

All NAS PI Claims must be received by the Claims Administrator on or before July 28, 2025, by 11:59 p.m. Eastern Time.

12. Is a HIPAA form required to be submitted with every claim?

Yes. The NAS PI Trust requires that a signed HIPAA form be submitted for every claimant for lien resolution process.

13. If I filed a NAS claim with the Mallinckrodt Opioid PI Trust ("MNK PI Trust") or Endo Opioid Personal Injury Trust ("Endo PI Trust"), can my claim information be transferred to the Purdue NAS PI Trust for consideration?

Yes, the evidence can be transferred to the NAS PI Trust, but the Purdue NAS PI Claim Form, HIPAA, and Proxy Form are all still required. If a NAS PI Claimant has already filed a NAS PI Claim with the MNK PI Trust or Endo PI Trust, the Purdue PI NAS TDP allows for the claims evidence to be transferred to the Purdue NAS PI Trust.

- If you are represented by a law firm, ask your firm to provide the NAS PI Trust with the information needed to have your MNK or Endo Claim information used by the NAS PI Trust.
- If you are not represented (are a Pro Se Claimant), please reach out to the Trust via email at Purduepitrust@Purduepitrust.com or via phone at 1-855-637-5538 regarding the use of your MNK or Endo Claim information in filing your Purdue NAS PI Claim.

14. When will I be required to submit an Heirship Declaration?

If you are filing a NAS PI Claim for a person who is **deceased**, you will be required to submit an Heirship Declaration if the court has not appointed an executor, administrator, or personal representative of the deceased's estate.

15. A NAS PI Claimant is deceased, and died before he/she could receive a Social Security Number. The estate of the Claimant is otherwise eligible to participate in the Settlement. Is the Claimant's estate able to participate in the Settlement?

Yes. Please contact the NAS PI Trust for further information on how to file the claim.

16. The Claimant is deceased and there is a probate estate opened for his/her estate, with a personal representative having been appointed. On the NAS PI Claim Form, when the relationship between the person submitting the NAS PI Claim Form and the deceased Claimant is requested, what should I put down?

Please indicate that you are the personal representative of the deceased Claimant's estate, and provide the documentation reflecting the same.

FILING FOR A MINOR CLAIMANT

17. What if I represent a NAS PI Claimant who is still a minor?

If you represent a minor NAS PI Claimant, you will be responsible for meeting the above claims submission requirements, with the addition of showing you are acting on behalf of your minor NAS PI Claimant as a proxy.

18. Who can be a minor NAS PI Claimant's proxy?

You may be considered the minor NAS PI Claimant's proxy if you attest that you are one of the following and provide the required proof where applicable:

- 1. The NAS PI Claimant's custodial parent,
- 2. The NAS PI Claimant's legal guardian (under applicable law), or
- 3. An adult providing custody/care to the NAS PI Claimant

19. How do I prove I am the NAS PI Claimant's proxy?

In addition to the requirement for submitting a NAS PI Claim, you must submit the following as proof:

- 1. To show you are the custodial parent of the minor NAS PI Claimant you must submit a sworn statement that you are the minor NAS PI Claimant's custodial parent
- 2. To show you are the guardian of the minor NAS PI Claimant you must submit either:
 - a. A court order appointing you as guardian; or
 - b. Other documents reasonably acceptable to the NAS PI Trust that is sufficient to evidence guardianship
- 3. If you are neither the guardian nor the custodial parent of the minor NAS PI Claimant, you must submit both of the following:
 - a. A statement by you, under penalty of perjury, stating:
 - i. You are providing custody/care to the minor NAS PI Claimant
 - ii. How long you have been providing custody/care
 - iii. Your relationship to the minor NAS PI Claimant
 - iv. Circumstances around the care/custody being provided to the minor NAS PI Claimant
 - b. A statement and/or records from one of the following in support of your statement:
 - i. Your minor NAS PI Claimant's school
 - ii. Your landlord or property manager
 - iii. Your minor NAS PI Claimant's health provider

- iv. Your minor NAS PI Claimant's childcare provider
- v. Your placement agency
- vi. Governmental Social Services Agency
- vii. Indian Tribe Officials
- viii. Your Employer

FILING A CLAIM: PROOF OF USE & INJURY

20. What can I submit to show evidence of a NAS PI Claim?

In order to qualify to receive a NAS PI Claim award from the NAS PI Trust, the NAS PI Claimant must submit, in addition to the NAS PI Claim Form, documentation of any **one** of the following forms of evidence:

- 1. A document from a licensed medical provider <u>diagnosing</u> the NAS PI Claimant with one of the following:
 - a. a medical, physical, cognitive, or emotional condition resulting from intrauterine exposure to opioids; or
 - b. a medical, physical, cognitive, or emotional condition resulting from intrauterine exposure to opioid replacement/treatment medication.
- 2. A document from licensed medical provider <u>affirming NAS PI Claimant had Neonatal Opioid Withdrawal Syndrome ("NOWS")</u>
- 3. Medical Records <u>evidencing</u> the NAS PI Claimant had a Neonatal Abstinence Syndrome diagnosis, including:
 - a. Post-natal treatment for symptoms caused by opioid exposure
 - b. Symptoms of post-natal withdrawal from opioids
 - c. Medical Scoring for NAS or NOWS that was positive or indicated fetal opioid exposure
 - d. Positive toxicology screen of birth mother or infant for opioids/opioid-weaning drugs
 - e. Maternal diagnosis of opioid use disorder by the birth mother

Refer to the NCAM, a copy of which is located in the NAS PI Claims tab of this website.

21. Will I be required to prove that the NAS PI Claimant had, or currently has, an opioid prescription?

No. You will not be required to provide evidence proving the NAS PI Claimant had, or currently has, an opioid prescription, but you will be required to show the NAS PI Claimant had fetal opioid exposure.

22. Who is considered a licensed medical provider?

Physicians, nurses, physician assistants, mental health counselors or therapists, or professionals at a rehabilitation center.

23. Will I have to submit additional evidence after submitting the NAS PI Claim Form and supporting evidence is submitted?

Potentially. Upon review of your claim, the NAS PI Trust will determine if the evidence submitted complies with the NAS PI TDP. If the evidence is insufficient, the NAS PI Trust will notify you of the deficiency, which may require additional evidence.

24. I am a pro se claimant or I am an attorney representing a Claimant wishing to submit an NAS PI Claim. Is there a manual describing the criteria or types of damages that can qualify you for an admitting NAS PI Claim?

Yes. Refer to the NCAM Grading Manual on this website.

CLAIM REVIEW PROCESS

25. Who determines if the evidence submitted is considered incomplete/ deficient?

The Claims Administrator will determine whether your submitted evidence is complete or incomplete/deficient according to the criteria set forth in the NAS PI TDP.

26. Who will be assessing/auditing my claim?

One of the following will be assessing/auditing your claim:

- 1. the Claims Administrator; or
- 2. a third-party retained by the Claims Administrator.

27. How will the NAS PI Trust determine if the evidence supports the claim?

The NAS PI Trust will receive, review, process, and resolve NAS PI Claims in accordance with the NAS PI TDP, and determine whether the claim is Allowed and therefore eligible for payment from the NAS PI Trust. An Allowed NAS PI Opioid Claim is a NAS PI Claim that provides credible evidence that satisfied the evidentiary criteria set forth in the NAS PI TDP.

28. What if my NAS PI Claim is deficient/incomplete?

If your NAS PI Claim is deficient, you will be notified by the NAS PI Trust and have 30 days to cure any deficiencies.

29. What are some examples of deficiencies?

Examples of deficiencies include the claim form being filled out incorrectly, failure to provide supporting documentation (HIPAA, Heirship Documents, Proxy Documents, etc.), or evidentiary issues (such as providing no evidence of a NAS related injury).

30. What if I don't timely cure my deficiencies?

If the deficiency is not timely cured to the satisfaction of the Claims Administrator (within 30 days of notification), the claim will be Deficient and subject to an objection, disallowance, or denial.

CONFIDENTIALITY

31. Will the information provided be confidential?

Yes. It is assumed that information in your NAS PI Claim Form, and any other related materials, was intended to:

- 1. Remain confidential between you and the NAS PI Trust; and
- 2. Be protected by all applicable state and federal privileges and protection

32. What about third parties hired by the NAS PI Trust to assess/audit claims?

In specific limited circumstances, the Trust may disclose on a confidential basis information, documents, or other materials, if it is reasonably necessary to process your claim or in order to resolve liens.

33. How will the NAS PI Trust make sure personal information remains confidential when it is sent to a third party?

The NAS PI Trust shall take any and all steps reasonably feasible (as determined by the NAS PI Trust) to ensure your personal information remains confidential, including, receiving a written confidentiality agreement from the third-party that:

- 1. Ensures your information will be used solely by the third-party for the purpose stated in the agreement; and
- 2. Prohibits the third party from sharing your information except as stated in the agreement.

34. What happens to personal information once the NAS PI Trust is closed?

Once the NAS PI Trust winds down (leading to the termination of the NAS PI Trust), if the NAS PI Trust determines there is no legitimate reason to keep submitted records/information, the NAS PI Trust will securely destroy all records containing any personal information in compliance with any applicable federal laws and Delaware laws.

35. What happens after the records are securely destroyed?

The NAS PI Trust will file a certification with the Bankruptcy Court attesting to the secure destruction of the records in compliance with any applicable federal laws and New York laws.

AWARD: CALCULATION AND PAYMENT

The NAS PI Trust will update this section as claims processing progresses, and after the Plan has been confirmed.

APPEALING YOUR AWARD

The NAS PI Trust will update this section as claims processing progresses, and after the Plan has been confirmed.